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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re	application of	)	Group Art Unit:
Crich	RÜGAMER	)	2854
Ench	RUGAWER	)	Examiner:
Appli	cation No. 10/528,651	)	Anthony H. Nguyen
Filed	March 21, 2005	) } &	~
For	DRIVE DEVICES AND METHOD FOR DRIVING A PROCESSING MACHINE	) ) )	

#### FOURTH INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with 37 CFR 1.97(b)(3), the materials listed on the enclosed PTO/SB/08a and 08b forms are brought to the attention of the Examiner. Copies of the cited documents are enclosed.

An Opposition to the corresponding European patent application was filed in the EPO on December 9, 2006. A notice of that Opposition was sent to the assignee of the subject application on December 14, 2006. A copy of that notice is enclosed.

EP 0 095 437 is accompanied by an English language abstract which was obtained from a commercial database.

The SYNAX Handbook is provided in both German and English language versions. The copyright notice on the second page of the document indicates that it is available only to customers of the publisher INDRAMAT. It is thus not clear that this

document is, in fact, available to the public. A copy is being provided to the Examiner in accordance with conservative practice.

The SIEMENS Energietechnik document does not have an English language translation. It appears to show several schematics or flow diagrams utilizing a printer, a computer and various ancillary pieces of equipment for use in the paper industry.

The WO 97/11848 document cited in the notice from the EPO was cited in the Information Disclosure Statement filed with the application.

Entry of these materials into the file of the subject U.S. patent application, and their consideration by the Examiner is respectfully requested.

Respectfully submitted,

Erich RÜGAMER
Applicant

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Attorneys for Applicant

Douglas R. Hanscom

Reg. No. 26, 600

February 27, 2007 JONES, TULLAR & COOPER, P.C. P.O. Box 2266 Eads Station Arlington, Virginia 22202 (703) 415-1500

Attorney Docket: W1.1993 PCT-US



PTO/SB/08a (09-06)
Approved for use through 03/31/2007. OMB 0651-0031
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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

 Complete if Known

 Application Number
 10/528,651

 Filing Date
 03/21/2005

 First Named Inventor
 Erich RUGAMER

 Art Unit
 2854

 Examiner Name
 Anthony H. Nguyen

 Attorney Docket Number
 W1.1993 PCT-US

(Use as many sheets as necessary)

Sheet 1 of 1 Attorn

Examiner	Cito	Degument Number	U. S. PATENT D  Publication Date	Name of Patentee or	Pages Columns Lines Where
Examiner Initials*	Cite No.1	Document Number	MM-DD-YYYY	Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
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FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> 'Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T€		
	1	EP 0 095 437	11/30/1983	Erling				
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Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08b (09-06)

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<b>/</b> ,	Substitute for	r form 1449B/PTO			Complete if Known			
					Application Number	10/528,651		
	INFO	RMATION DI	SCLO	SURE	Filing Date	03/21/2005		
	<b>STAT</b>	EMENT BY	<b>APPLI</b>	CANT	First Named Inventor	Erich RUGAMER		
					Art Unit	2854		
(Use as many sheets as necessary)					Examiner Name	Anthony H. Nguyen		
	Sheet	1	of	1	Attorney Docket Number	W1.1993 PCT-US		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*  Cite No.1  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the ite magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publish and/or country where published.		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	1	SYNAX Dezentrales System zur Synchronisierung von Maschinenachsen Funktionsbeschreibung, Indramat, 1998	х
	2	SYNAX Decentralized System for the Synchronization of Machine Axes Functional Description, Indramat, 1998	
	See	Attachment 1	•
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Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

### Addendum

### Attachment 1

- a. 3
- HACKEL, VON INGO, Konzepte der Antriebs- und ProzeBautomatisierung mit MODULPAC C fur die Druck-, Papier- und Chemiefaserindustrie, Siemens-Energietechnik, 1979

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